

PATENT Attorney Docket No. ASC-058B

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Leitz et al. CONFIRMATION NO. 8603

SERIAL NO.: 10/646,353 GROUP NO.: 2826

FILING DATE: August 22, 2003 **EXAMINER:** Not yet assigned

TITLE: Semiconductor Heterostructures Having Reduced Dislocation Pile-Ups and

Related Methods

PETITION FOR CHANGE TO ORDER OF INVENTOR NAMES UNDER 37 C.F.R. § 1.182

Office of Petitions Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

- 1. This petition under 37 C.F.R. § 1.182 is intended to change the order of the inventor names in the patent application referenced above. There are no deletions, additions, or corrections to the present list of inventors.
- 2. The present order of inventor names is as follows:

Christopher Leitz, Christopher Vineis, Richard Westhoff, Vicky Yang, Matthew Currie

3. The requested order of inventor names is as follows:

Richard Westhoff, Vicky Yang, Matthew Currie, Christopher Vineis, Christopher Leitz

In accordance with 37 C.F.R. § 1.182 and 37 C.F.R. § 1.17(h), this petition is accompanied by a 4. check for the petition filing fee of \$130.00. However, should any other fees be required for this petition to be considered, the Commissioner is authorized to charge deposit account number 20-0531.

Date: October, 2004

Tel. No.: (617) 248-7453

Fax No.: (617) 248-7100

Mark L. Beloborodov, Reg. No. 50,773

Attorney for Applicants

Respectfully submitted,

Testa, Hurwitz, & Thibeault, LLP

Street Tower 125 High Street

Boston, Massachusetts 02110

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Leitz et al.

CONFIRMATION NO.:

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EXAMINER:

Not Yet Assigned

TITLE:

Semiconductor Heterostructures Having Reduced Dislocation Pile-Ups

and Related Methods

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Office of Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 19th day of October, 2004.

Lisa Marie Solis

Office of Petitions Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith are:

- 1. Transmittal Form (1 pg.);
- 2. Fee Transmittal (1 pg.);
- 3. Check in the amount of \$130.00;
- 4. Petition for Change to Order of Inventor Names Under 37 C.F.R. §1.182 (1 pg.); and
- 5. Return receipt postcard.

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TRÄNSMITTAL FORM			Application	Serial Number	10/64	10/646,353		
			Filing Date		Augu	August 22, 2003		
			First Named	Inventor	Leitz	Leitz		
			Group Art U	Jnit	2826	2826		
			Examiner N	ame	Not Y	Not Yet Assigned		
			Attorney Do	ocket No.	ASC-	ASC-058B		
			Patent No.		Not A	Not Applicable		
			Issue Date	-	Not A	Not Applicable		
		· EN	ICLOSURES (c	heck all that apply)				
\boxtimes	Fee Transmittal Form			e to File Missing		Notice of Appeal to Board		
K-3	_	-	Parts of Applie			of Patent Appeals and Interferences		
	☑ Check Attached☐ Copy of FeeTransmittal Form		Formal Drawin	ng(s)		Appeal Brief (in triplicate)		
	Amendment/Response		Request For C Examination (Status Inquiry		
	☐ Preliminary ☐ After Final ☐ Affidavits/declaration(s) ☐ Letter to Official ☐ Draftsperson including Drawings [Total Sheets] Petition for Extension of Time Supplemental Information Disclosure Statement ☐ Form PTO-1449 Certified Copy of Priority Document(s) Sequence Listing submission ☐ Paper Copy/CD ☐ Computer Readable Copy ☐ Statement verifying		Transmittal Power of Attor (Revocation of Attorner) Executed Decl of Attorney for Patent Applicate Small Entity S Amendment A Request for Ce Correction	rney f Prior Powers) laimer aration and Power r Utility or Design ation tatement		Return Receipt Postcard Certificate of First Class Mailing under 37 C.F.R. 1.8 Certificate of Facsimile Transmission under 37 C.F.R. 1.8 Additional Enclosure(s) (please identify below) Petition for Change to Order of Inventor Names Under 37 C.F.R. §1.182		
-	identity of above							
CO	RRESPONDENCE ADDRESS			SIGNATURE BL	OCK			
Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100			Respectfully submitted, Date: October 19, 2004 Reg. No. 50,773 Tel. No.: (617) 248-7453 Fax No.: (617) 248-7100 Mark L. Rekborodov Attorney for Applicants Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110					

OTP FEE TRANSMITTAL OCT 2 1 2004 By

	Complete if Known				
Application Serial Number	10/646,353				
Filing Date	August 22, 2003				
First Named Inventor	Leitz				
Group Art Unit	2826				
Examiner Name	Not Yet Assigned				
Attorney Docket No.	ASC-058B				

METHOD OF PAYMENT	FEE CALCULATION (continued)							
1. Payment Enclosed:	3. ADDITIONAL FEES							
Check Money Order Other	Large	Small						
•	Entity	Entity						
2. The Commissioner is hereby authorized to credit	Fee	Fee	Fee Description	Fee Paid				
or charge any fee indicated below for this submission	(\$)	(\$)						
to Deposit Account No. 20-0531.								
Required Fees (copy of this sheet enclosed).	130	65	Surcharge - late filing fee or oath					
Additional fee required under 37 CFR 1.16 and	50	25	Surcharge - late provisional filing fee					
1.17.			or cover sheet					
Overpayment Credit.	130	130	Non-English specification					
3. Applicant claims small entity status.	2,520	2,520	Request for ex parte reexamination					
FEE CALCULATION	110	55	Extension for reply within first month					
1. FILING FEE	430	215	Extension for reply within second					
I. LIDING LEE	450	213	month					
Large Entity	980	490	Extension for reply within third month					
Fee (\$) Fee Description Fee Paid	1530	765	Extension for reply within fourth					
retraid	.550	705	month					
	2080	1040	Extension for reply within fifth month					
790 Utility filing fee	340	170	Notice of Appeal					
350 Design filing fee	340	170	Filing a brief in support of an appeal					
160 Provisional filing fee	340	170	Request for oral hearing					
	130	130	Petitions to the Commissioner	130.00				
	180	180	Submission of Information Disclosure					
Number Number Rate Amount			Statement					
Filed Extra	790	395	Filing a submission after final					
			rejection (37 CFR 1.129(a))					
Total Claims $-20 = x $18.00 =$	790	395	For each additional invention to be					
20 A \$ 10,00	,,,	373	examined (37 CFR 1.129(b))	6				
Independent	100	100	Certificate of Correction for					
Claims $-3 = x \$ 88.00 =$			applicant's error					
	110	55	Submission of Terminal Disclaimer					
☐ Multiple Dependent Claim(s), if any \$300.00 =	Other fee (Sp	ecify)						
	Other fee (Sp							
TOTAL:	1							
SMALL ENTITY DISCOUNT:								
SUBTOTAL (1) (\$)								
2. AMENDMENT CLAIM FEES								
Claims Highest No. Present Rate Fee Paid			SUBTOTAL (3) (\$)	130.00				
Remaining Previously Extra								
After Amend. Paid For	K n							
Total - = x \$ 18.00 =			SUBTOTAL (1)	0.00				
Indep = x \$ 88.00 =		SUBTOTAL (1) 0.00 SUBTOTAL (2) 0.00						
First Presentation of Multiple Dep. +\$300.00 =		SUBTOTAL (2) 0.00 SUBTOTAL (3) 130.00						
Claim			335131712(3)	-55.00				
TOTAL: (\$)			<u> </u>					
SMALL ENTITY DISCOUNT: (\$)]							
SUBTOTAL (2) (\$)	TOTAL (\$) 130.00							
CORRESPONDENCE ADDRESS	SIGNATURE BLOCK							
Direct all correspondence to:	Respectfully/submitted,							
Patent Administrator	Date: October 19, 2004							
Testa, Hurwitz & Thibeault, LLP	Reg. No.: 50,773 Mark L. Beloborgetov							
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Tel. No.: (617) 248-7000	Fax No.: (617) 248-7100 Testa, Hurwitz & Thibeault, LLP							
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